

FROM



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

C1-03-01 WED 12-26-01 14:11:57T 14:19:AM 300,0822 A

Inventor: W Richard Purcell, Jr.
 Express Mail Label No.:

Title: "METHOD AND APPARATUS FOR OPTIMIZING INVESTMENT PORTFOLIO PLANS FOR LONG-TERM FINANCIAL PLANS AND GOALS"

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

Enclosed for filing with the above-identified utility patent application are the following:

1. Applicant claims small entity status. See 37 CFR 1.27.
2. Specification (Total Pages of Text, including Abstract and Claims: 82)
3. Drawing(s) (35 USC 113) (Total Sheets: 45 formal)
4. Oath or Declaration (Total Pages: 2 signed)
5. Assignment Papers (cover sheet & document(s))
6. Information Disclosure Statement (IDS/PTO-1449)
7. Copies of IDS Citations (Number of References: 5)
8. Return Postcard (MPEP 503) (should be specifically itemized)
9. A check in the amount of \$ 956.00 is enclosed.

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FEE CALCULATION:

	(COL. 1) NO. FILED	(COL. 2*) NO. EXTRA	SMALL ENTITY		OR	LARGE ENTITY	
			RATE	Fee		RATE	Fee
BASIC FEE:				\$370.00	OR		\$740.00
TOTAL CLAIMS:	74	-	20	54	X \$9 =	486.00	OR X \$18 =
INDEP. CLAIMS:	2	-	3	0	X \$42 =	OR X \$84 =	
MULTIPLE DEPENDENT CLAIMS				+ \$140 =	OR	+ \$280 =	
"IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "0" IN COL. 2.				TOTAL:	\$856.00		

OTHER INFORMATION:

1. Correspondence Address:

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Respectfully Submitted,

W. Richard Purcell, Jr.

Date: December 28, 2001